

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Luigi Gobbi, et al  
 Serial No:  
 Filed:  
 For: Integrated Optical Chip

Examiner:  
 Group Art Unit:

**INFORMATION DISCLOSURE STATEMENT  
 UNDER 37 C.F.R. §§ 1.56, 1.97 – 1.98**

Commissioner of Patents  
 Alexandria, VA 22313-1450

Dear Sir:

The Examiner's attention is hereby directed to the following reference(s) listed on the attached Form PTO-1449 for consideration in connection with the examination of the above-identified patent application. One copy of the reference(s) is enclosed.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, applicant(s) reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant(s) further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the enclosed references, should one or more of the references be applied against the claims of the present application.

Respectfully submitted,



Ronald J. Paglierani  
 Registration No. 29,201  
 Corning Incorporated  
 SP-TI-03-1  
 Corning, NY 14831  
 607-974-3332  
 Date: 8/19/03

I hereby certify that this correspondence is being deposited with the United States Postal Service as EXPRESS MAIL in an envelope addressed to: Commissioner of Patents, Alexandria, VA 22313-1450 on

SEPT. 19, 2003

Date of Deposit

Ronald J. Paglierani  
 Name of applicant, assignee, or  
 Registered Representative  
  
 Signature  
8/19/03  
 Date of Signature

Express Mail No. EV327189060 US

FORM PTO-1449 (MODIFIED)		ATTORNEY DOCKET NO.	SERIAL NO.
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		UK02-013	
		APPLICANT Luigi Gobbi, et al.	
		FILING DATE	GROUP:

REFERENCE DESIGNATION			U.S. PATENT DOCUMENTS			
Examiner Initial	Document Number	Date	Name	Class	Sub-Class	Filing Date if Approp.
AA	4,750,800	6/14/88	Fournier, et al	350	96.11	
AB	5,388,170	2/7/95	Heismann, et al	385	4	
AC	6,044,184	3/28/00	Shafer, et al	385	14	
AD	6,353,690	3/5/02	Kulishov	385	10	
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

FOREIGN PATENT DOCUMENTS						
	Document Number	Date	Country	Class	Sub-Class	Translation Yes No
AL	WO 91/03748	21/3/91	PCT			
AM						
AN						
AO						
AP						
AQ						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
AR	"Electrical Loss Mechanisms In Traveling Wave LiNbO <sub>3</sub> Optical Modulators" G. K. Gopalakrishnan, et al Electronics Letters, 16 January 1992, Vol. 28, No. 2
AS	
AT	
AU	
AV	
AW	

EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.